# COMPCERT : C compilers you can formally trust

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## Bug trackers of GCC and LLVM (Sun-et-al@PLDI'16)



The number of attested bugs tends to remain almost constant. New bugs are introduced when compilers are improved !

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#### **Another fundamental reason :**

Tests of **optimizing** compilers **cannot cover** all corner cases because of a **combinatorial explosion**.

#### Strong safety-critical requirements of

DO-178 (Avionics), ISO-26262 (Automotive), IEC-62279 (Railway), IEC-61513 (Nuclear) often established at the source level...

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**Better solution** a formally proved compiler for formal tool qualification (DO-178C  $+$  DO-333)...



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- $\triangleright$  closer to informal spec (e.g. simpler for human reviews)
- $\triangleright$  more compositional (e.g. simpler for tests)

Diagrammatic view of the **correctness Source** Target  $\longleftrightarrow$  Behaviors Compiler

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 $\Rightarrow$  up-to-date & very sharp (formal) documentation of the compiler that may also help "external developers"

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"COMPCERT is the only compiler we have tested for which Csmith cannot find wrong-code errors. This is not for lack of trying : we have devoted about six CPU-years to the task. [...] developing compiler optimizations within a proof framework [...] has tangible benefits for compiler users."

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Part of an **ongoing effort to certify a whole software chain** in the Coq proof assistant

from the prover (e.g. CertiCoq) to OS kernels (e.g. CertiKOS) Example <http://deepspec.org> (supported by NSF).

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Results from a long history in formalizing mathematical reasonning since Frege, Russel, Hilbert near 1900.

### Formally proved programs in the Coq proof assistant

The Coq logic includes a functional programming language with pattern-matching on tree-like data-structures.

Extraction of  $\rm{Co}$  functions to  $\rm{OC}\rm{AML}$  $+$  OCAML compilation to produce native code.

⇒ **CompCert is programmed in both Coq and OCaml.**

# The kernel of  $CoQ$  in a nutshell  $(1/2)$

- A typed programming language, only handling data of the form
- inductive data (tree-like data)
- (pure) functions (with structural recursion)
- $\bullet$  types, where  $\texttt{Type}_i$  is the type of  $\texttt{Type}_j$  with  $j < i$

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**Example** where z in  $Type_0$  is the type of relative integers

```
Inductive nat : Type := O | S( n: nat ). (* defines natural numbers *)
Fixpoint plus (n m: nat ): nat := (* defines n+m recursively *)
  match \t n \t with \t 0 \Rightarrow m \t (S \t n') \Rightarrow (S \t (plus \t n' \t m)) \t end.(* Type of tuples containing (S n) values in Z *)
Fixpoint tuple_S (n: nat ): Type :=
  m match n with 0 \Rightarrow Z \mid S \nvert n' \Rightarrow Z \nvert (tuple S n') end.
(* Co nc at en at io n operation of such tuples *)
Fixpoint app (n \text{ m:nat}):(\text{tuple S n}) ->((\text{tuple S m}) ->(\text{tuple S (S (plus n m)))) :=
  match n with
    0 \Rightarrow fun t1 t2 => (t1, t2)
  | S n' => fun t1 t2 => let (x, t1') := t1 in (x, app n' m t1' t2)end .
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```
Decidable typechecking with computations in types! Only *structural* recursion is allowed  $\Rightarrow$  all computations terminates.

### The kernel of  $CoQ$  in a nutshell  $(2/2)$

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Type of app :
forall (n \text{ m:nat}), tuple_S n \rightarrow \text{tuple_S m \rightarrow tuple_S(S (S (plus n m)))}
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**NB** :  $A \rightarrow B$  is **forall**  $(x : A)$ , B when x not occurring in B.

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**Typing rule :** when <sup>A</sup> : **Type** (with restrictions) and <sup>P</sup> : <sup>A</sup>−>**Type**<sup>i</sup>  $then \tfor all (x:A), (P x) \tin Type$ 

**Prop** in **Type**<sup>1</sup> represents the type of logical propositions : Coq proofs are values in types of **Prop**

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Gives a subset of classical logic called intuitionistic logic. Classical logic recovered with a few additional axioms like

Axiom excluded\_middle: forall (A:Prop), A \/ (A -> False).

### A flavour of certifying compilers in Coq

COMPCERT proof is huge ( $> 100$ Kloc of CoQ).

Follow this link to have a simpler example : [http://www-verimag.imag.fr/˜boulme/IntroCompCert/DemoCoq/](http://www-verimag.imag.fr/~boulme/IntroCompCert/DemoCoq/)

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Using COMPCERT

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**Performance of generated code** (for PowerPC and ARM)

 $2 \times$  *faster* than gcc -00 10% *slower* than gcc -O1 and 20% than gcc -O3.

In MTU systems (German provider of Nuclear Power Plants) 28% smaller WCET than with a previous *unverified* compiler.  $\sqrt{ }$  $\int$ 

 $\mathcal{L}$ 

## Understanding the formal correctness of COMPCERT

Formally, correctness of compiled code is ensured modulo

- $\bullet$  correctness of  $\rm C$  formal semantics in  $\rm COQ$
- $\bullet$  correctness of assembly formal semantics in  ${\rm Coq}$
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 $\mathcal{L}$ Formal semantics  $\simeq$  relation between "programs" and "behaviors"

i.e. a (possibly non-deterministic) interpretation of programs

for C : formalization of ISO C99 standard

for assembly : formalization/abstraction of ISA

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Source program assumed to be without undefined behavior

```
int x , t [10] , y ;
...
if (...) {
  t [10]=1; // undefined behavior : out of bounds
  // the compiler could write in x or y ,
  // or prune the branch as dead - code , ...
```
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For any source program S, if S has no UNDEFINED-BEHAVIOR, and if the compiler returns some assembly program  $\mathcal{C}$ , then any behavior of  $C$  is also a behavior of  $S$ .

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NB : under these conditions, C has no UNDEFINED-BEHAVIOR. Using COMPCERT 18/24

### Trust in ELF binaries produced with COMPCERT

Trust in binaries requires additional verifications, at least :

- $\triangleright$  absence of undefined behavior in C code (e.g. with  $\text{ASTR\&E}$ )
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Qualification of MTU development chain for Nuclear safety from Käster, Barrho et al @ERTS'18

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## COMPCERT's model of Intermediate Representations

**Definition** The transition semantics (of a program) is defined – on a given type of states  $-$  by :

- a subset of initial states (i.e. at "main" entry-point);
- a subset of final states (i.e. at "returns" of "main") ;
- $\bullet$  a step relation written  $S\stackrel{\mathsf{t}}{\longrightarrow} S'$

with t being either one observable event or  $\epsilon$  (i.e. "silent" step).

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- 4 kind of behaviors recovered by :
	- infinite sequence with a finite or infinite trace
	- finite sequence ended on a final state
	- finite sequence ended on a non-final state (stuck) ⇒ UNDEFINED-BEHAVIOR

## Certifying compilation passes in COMPCERT

#### **Theorem : correctness of forward simulations**

The correctness of a pass between a source semantics on  $S_1$ to a deterministic target semantics on  $S_2$ , can be proved by a simulation relation  $S_1 \sim S_2$  that :

- is established on initial states
- preserves final states
- and execution steps with :



 $\mathsf{NB}$  : condition  $|S'_1|<|S_1|$  ensures preservation of infinite silent loops.

## Untrusted Oracles in COMPCERT

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**Example** of *register allocation* – a NP-complete problem (related to a graph-coloring problem)

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#### **Benefits of untrusted oracles**

simplicity  $+$  efficiency  $+$  modularity

## Modular design of COMPCERT in COQ

#### Components independent/parametrized/specific w.r.t. the target



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Demo on a mini example for x86-64 target at this link :

[http://www-verimag.imag.fr/˜boulme/IntroCompCert/DemoCompCert/](http://www-verimag.imag.fr/~boulme/IntroCompCert/DemoCompCert/)